FEB 16 2006

I hereby 25412 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313-1450 on February 14, 2006

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of Applicant, Assignee or Registered Representative

Signature

Our Case No. 10519-30

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	tion of:	)		
	Subramanian et al.	)		
		)	Examiner:	D. Vu
Serial No.:	09/939,498	)		
		)	Group Art Unit:	2818
Filed:	August 24, 2001	)		
•		)		
For:	Vertically Stacked Field	)		
	Programmable Nonvolatile	)		
	Memory and Method of	)		
	Fabrication	)		

## **REQUEST FOR INITIALED 1449 FORMS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants note that they have not yet received initialed copies of the 1449 Forms that accompanied the Third and Fourth Supplemental Information Disclosure Statements mailed April 9, 2003 and June 6, 2003, respectively. Applicants respectfully request that the Examiner initial these Forms and returned copies of the initialed Forms to Applicants. For the Examiner's convenience, Applicants have attached copies of those 1449 Forms at Tabs A and B.

February 14, 2006 Dated:

Respectfully submitted,

Joseph F. Hetz

Reg. No. 41,070 Attorney for Applicants

**BRINKS HOFER GILSON & LIONE** P.O. Box 10395 Chicago, Illinois 60610 (312) 321-4719

	•	·
FORM PTO-1449	SERIAL NO.	CASE NO.
MEMARILO	09/939,498	10519-30
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP, ART UNIT
APPLICANT'S INFORMATION DISCLOSURE STATEMENT	August 24, 2001	2818
(use several sheets if necessary)	APPLICANT(S): Subramanian e	t al

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	5,726,484	03/1998	Hart et al.		_
	D2	6,124,154	09/2000	Miyasaka		

		·
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99 Document8

[A 16 2006 B)		
FORM PTO-1449	SERIAL NO.	CASE NO.
TOKWIF 10-1443	09/939,498	10519-30
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE August 24, 2001	
(use several sheets if necessary)	APPLICANT(S): Subramanian e	t al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,744,394	04/28/1998	Iguchi et al.		
	A2					
	A3					
	A4		<u></u>			
	A5					
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
1.1	A12					
	A13					

FOREIGN PATENT DOCUMENTS TRANSLATION YES OR NO CLASS/ **EXAMINER** DOCUMENT **SUBCLASS** COUNTRY DATE INITIAL NUMBER Number-Kind Code (if known) A14 A15 A16 A17 A18

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.			
	A19			
	A20			
1	A21			
:	A22			
	A23			
	A24			

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XAMINER	DATE CONSIDERED	•
	<u> </u>	

KAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; while through citation if not in conformance and not considered. Include copy of this form with next munication to applicant.

/c.-99 / 0519\10519-30 FORM PTO 1449 re 4th supp ids.doc

**BEST AVAILABLE COPY**